



Malte Wundenberg

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Malte advises clients on internal investigations and regulatory inquiries by German and foreign supervisory authorities concerning potential compliance violations. He has particular expertise in compliance matters affecting regulated financial institutions.

He also advises domestic and international banks, financial services providers, and asset managers on matters of banking supervisory law, investment law, and corporate governance.

A key focus of his work is the use of generative artificial intelligence in internal investigations and regulatory matters. On this topic, Malte has published academic work and was involved in the development of Hengeler Mueller's innovative generative AI-based eDiscovery solution.

He is the author of a leading textbook on European Banking Regulation and regularly publishes in legal journals. He also teaches as a lecturer at Bucerius Law School.

Malte is a member of the firm's India Desk.

Recognitions: Best Lawyer 2023 – 2025 (Ones to Watch)

Career

Admitted to bar 2013

Diplom-Kaufmann

Bucerius Law School Hamburg (Dr. iur.)

Distance University Hagen (Dipl.-Kaufmann)

University of Mannheim

New York University

University of Cambridge

Publications

Europäisches Bankenaufsichtsrecht (European Banking Regulation), approx. 450 pages (forthcoming, Q3 2021)

Fit and Proper Assessments of Board Members of Banks and Investment Firms: A European Perspective, 33 Journal of International Banking Law and Regulation (2018), p. 191-199

Organisational Requirements for Investment Firms (Chapter 8), in: Veil, Rüdiger (Ed.), European Capital Markets Law, 2. Edition 2017, p. 621-690

Regulation of Benchmarks (Chapter 9), in: Veil, Rüdiger (Ed.), European Capital Markets Law, 2. Edition 2017, p. 691-720

Perspektiven der privaten Rechtsdurchsetzung im europäischen Kapitalmarktrecht, ZGR 2015, p. 124-160

Compliance und die prinzipiengeleitete Aufsicht über Bankengruppen, 2012

Englisches Kapitalmarktrecht, 2010

(with Rüdiger Veil)

Prospektbefreiung gemäß § 4 Abs. 2
Nr. 3 WpPG bei
Unternehmensübernahmen, WM
2008, p. 1285-1295 (with Rüdiger
Veil)

Möglichkeiten der Einführung einer
Class Action im deutschen
Kapitalmarktrecht, ZEuP 2007, p.
1097-1121

Eine Frage des Prinzips, in: Börsen-
Zeitung dated 24.6.2015, p. 2

A complete list of publications can
be downloaded [here](#) .

Recent Work

Aurubis: Interne Untersuchung für
den Aufsichtsrat bezüglich
bestimmter Schadensereignisse im
Zusammenhang mit Gold- und
anderen Edelmetallverlusten sowie
Beratung in
Vorstandsangelegenheiten
einschließlich der Prüfung der
Vorstandshaftung

Hengeler Mueller berät Allianz zu
Vergleichen mit U.S.-Behörden